# Parameterized Complexity of Dynamic Belief Updates

Thomas Bolander<sup>1</sup> Arnaud Lequen<sup>2</sup>

DTU Compute, Technical University of Denmark

ENS Rennes, France

October 2020



### Outline

- Dynamic Belief Update
- 2 Parameterized Complexity
- New Complexity Results

# The coordinated attack problem in dynamic epistemic logic (DEL)

Two generals (agents), i and j. They want to coordinate an attack, and only win if they attack simultaneously.

- d: "general i will attack at dawn".
- $m_k$ : the messenger is at general k (for k = i, j).

Initial epistemic model:

$$\mathcal{M}_0 = \begin{array}{c} w_1 & w_2 \\ \bullet & j & \bullet \\ d, m_i & m_i \end{array}$$

Nodes are worlds, edges are indistinguishability edges (S5 logic) (reflexive loops not drawn).

# The coordinated attack problem in dynamic epistemic logic (DEL)

Available **event models**  $\mathcal{E}_{i \to j}$  (send message d from i to j) and  $\mathcal{E}_{j \to i}$  (send message d from j to i).

$$\mathcal{E}_{i o j} = egin{array}{c} e_1 & e_2 \ & & & & & & & \\ \hline & & & & & & & & \\ \langle d \wedge m_i, \neg m_i \wedge m_j 
angle & & & & \langle \top, \neg m_i \wedge \neg m_j 
angle \end{array}$$

And symmetrically for  $\mathcal{E}_{j\to i}$  (recall d: i attacks at dawn;  $m_k$ : messenger is at general k).

Nodes are **events**, and each event is labelled by  $\langle pre, post \rangle$  where *pre* is a **precondition** (epistemic formula) and *post* is a **postconditions** (conjunction of literals) [Baltag et al., 1998; van Ditmarsch et al., 2006; Bolander et al., 2011]

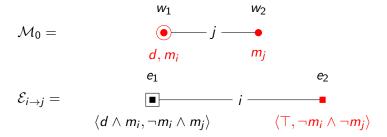
$$\mathcal{M}_{0} = \underbrace{\begin{array}{c} w_{1} & w_{2} \\ \bullet & j & \bullet \\ d, m_{i} & m_{j} \end{array}}_{d_{1}, m_{i}} = \underbrace{\begin{array}{c} e_{2} \\ \varepsilon_{i \rightarrow j} = \end{array}}_{\left\langle d \wedge m_{i}, \neg m_{i} \wedge m_{i} \right\rangle} i \underbrace{\begin{array}{c} e_{2} \\ \langle \neg m_{i} \wedge \neg m_{i} \rangle \end{array}}_{\left\langle \neg \neg m_{i} \wedge \neg m_{i} \right\rangle}$$

$$\mathcal{M}_0 \otimes \mathcal{E}_{i \to j} =$$

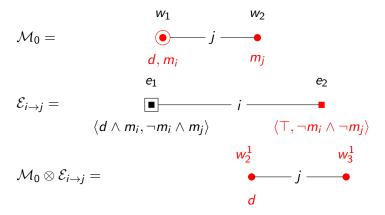
$$\mathcal{M}_{0} = \begin{array}{c} w_{1} & w_{2} \\ & & & \\ & d, m_{i} & m_{j} \\ & e_{1} & e_{2} \\ & \mathcal{E}_{i \rightarrow j} = \end{array}$$

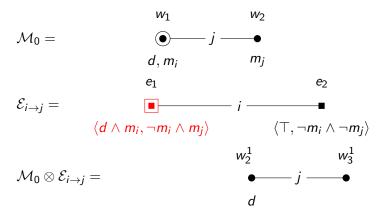
$$\mathcal{E}_{i \rightarrow j} = \begin{array}{c} e_{2} \\ & & \\ & \langle d \wedge m_{i}, \neg m_{i} \wedge m_{j} \rangle & \langle \top, \neg m_{i} \wedge \neg m_{j} \rangle \end{array}$$

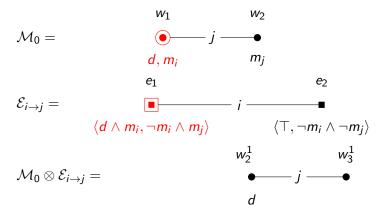
$$\mathcal{M}_0 \otimes \mathcal{E}_{i \to j} =$$

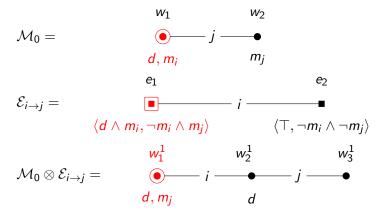


$$\mathcal{M}_0 \otimes \mathcal{E}_{i \to j} =$$









$$\mathcal{M}_{0} = \underbrace{\begin{array}{c} w_{1} & w_{2} \\ d, m_{i} & m_{j} \end{array}}_{d, m_{i}} = \underbrace{\begin{array}{c} e_{2} \\ \mathcal{E}_{i \rightarrow j} = \end{array}}_{\left\langle d \wedge m_{i}, \neg m_{i} \wedge m_{j} \right\rangle} i \underbrace{\begin{array}{c} e_{2} \\ \langle \neg m_{i} \wedge \neg m_{j} \rangle \end{array}}_{\left\langle \neg \neg m_{i} \wedge \neg m_{j} \right\rangle}$$

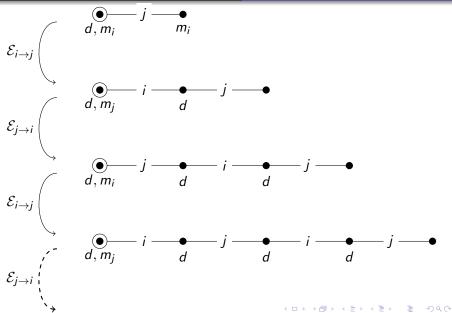
$$\mathcal{M}_{0} \otimes \mathcal{E}_{i \rightarrow j} = \underbrace{\begin{array}{c} w_{1}^{1} & w_{2}^{1} & w_{3}^{1} \\ d, m_{i} & d \end{array}}_{d, m_{i}} j \underbrace{\begin{array}{c} w_{1}^{1} & w_{2}^{1} & \cdots & \cdots \\ d, m_{i} & d \end{array}}_{d}$$

$$\mathcal{M}_{0} = \underbrace{\begin{array}{c} w_{1} & w_{2} \\ d, m_{i} & m_{j} \end{array}}_{d, m_{i}} = \underbrace{\begin{array}{c} e_{2} \\ \mathcal{E}_{i \rightarrow j} = \end{array}}_{\left\langle d \wedge m_{i}, \neg m_{i} \wedge m_{j} \right\rangle} i \underbrace{\begin{array}{c} e_{2} \\ \langle \neg m_{i} \wedge \neg m_{j} \rangle \end{array}}_{\left\langle \neg \neg m_{i} \wedge \neg m_{j} \right\rangle}$$

$$\mathcal{M}_{0} \otimes \mathcal{E}_{i \rightarrow j} = \underbrace{\begin{array}{c} w_{1}^{1} & w_{2}^{1} & w_{3}^{1} \\ d, m_{i} & d \end{array}}_{d, m_{i}} j \underbrace{\begin{array}{c} w_{1}^{1} & w_{2}^{1} & \cdots & \cdots \\ d, m_{i} & d \end{array}}_{d}$$

• 
$$\mathcal{M}_0 \models \neg K_i d$$

• 
$$\mathcal{M}_0 \otimes \mathcal{E}_{i \to j} \models K_i d \wedge K_j d \wedge \neg K_i K_j d$$



# The Dynamic Belief Update (DBU) Problem

### Dynamic Belief Update (DBU) [van de Pol et al., 2018]

- Input: An epistemic model  $\mathcal{M}$ , a series of event models  $\mathcal{E}_1, \dots, \mathcal{E}_u$  and an epistemic goal formula  $\varphi_g$
- Output: Yes if  $\mathcal{M} \otimes \mathcal{E}_1 \otimes \cdots \otimes \mathcal{E}_u \models \varphi_g$ . Otherwise No.

DBU can also be seen as the plan verification problem in epistemic planning.

### Problem: Complexity [van de Pol et al., 2018]

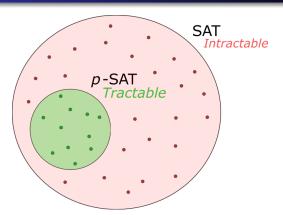
• DBU is intractable (PSPACE-complete)

### History

#### Theory of Mind reasoning

- The cognitive capacity called Theory of Mind (ToM) is our ability to attribute mental states to oneself and to others.
- Applications in psychology, philosophy, cognitive neuroscience, etc.
- DBU introduced by van de Pol et al. [2018] to study ToM
- Motivation: Understand which aspects are responsible for the intractability of ToM reasoning

# Parameterized Complexity



#### Parameterized variant

 $p\mbox{-}SAT\mbox{:}$  variant of SAT where the number of variables is constant, equal to p

### Ins and outs of DBU

#### Idea: Parameterized Complexity

Bound some dimensions of the problem: the number of agents, the maximum size of an event model, etc.

Hardness results for DBU immediately give hardness results for:

- Plan existence in epistemic planning
- DEL model checking

# In this presentation

#### Approach

• Study the tractability of a parameterized version of DBU, and its  $2^7 = 128$  sub-problems, of which 96 are unique.

#### Our contribution

- 8 out of 10 problems left open by van de Pol et al. [2018] now have their decidability settled
- Alternative proofs for 84 decidability results

(We implemented a small tool to keep track of all problems and their interdependencies, including which problems are still open.)

### Outline

- 1 Dynamic Belief Update
- 2 Parameterized Complexity
- New Complexity Results

### Parameters for DBU

#### **Parameters**

- Idea: put a bound on some dimensions of the problem
- Problems of the form X-DBU where X is a set of parameters

| Parameter | Description  |   |
|-----------|--|---|
| а         | # of agents  |   |
| С         | Max size of event precondition                     |   |
| e         | Max # of events in an event model                  |   |
| f         | Size of goal formula $arphi_{m{g}}$                |   |
| 0         | Model depth of goal formula $arphi_{oldsymbol{g}}$ |   |
| p         | # of propositional variables                       |   |
| и         | # of event models                                  |   |
|           | <□ > <□ > <□ > < □ > < □ > < □ >                   | ₽ |

# Fixed-Parameter Tractability

### Fixed-parameter tractable problems

A problem is *fixed-parameter tractable* (*FPT*) if there is an algorithm that decides every instance  $\omega$  with parameters  $k_1, \ldots, k_n \in \mathbb{N}$  in time

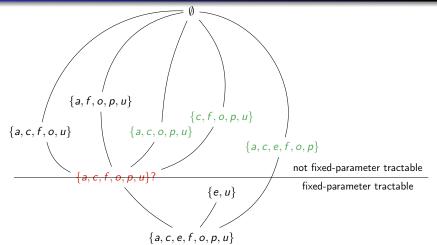
$$f(k_1,\ldots,k_n)\cdot P(|\omega|)$$

- f is a computable function
- P is a polynomial

### Tractability of $\{e, u\}$ -DBU [van de Pol et al., 2018]

- $\{e, u\}$ -DBU is FPT, i.e., is tractable when the number of event models (u) and number of events per model (e) are fixed
- There exists an algorithm for  $\{e,u\}$ -DBU in  $\mathcal{O}(e^u \cdot P(|\omega|))$

# Current tractability results for DBU



- In black: results of van de Pol et al. [2018]
- In green: our results

### Outline

- Dynamic Belief Update
- 2 Parameterized Complexity
- New Complexity Results
  - {a, c, e, f, o, p}-DBU
  - {c, f, o, p, u}-DBU
  - {a, c, o, p, u}-DBU

# $\{a, c, e, f, o, p\}$ -DBU

#### Result

 $\{a,c,e,f,o,p\}$ -DBU is intractable, that is, if we bound the number of propositional variables and agents (a,p), the size of the preconditions of events and the number of events (c,e), and the length and modal depth of the goal formula (f,o), then DBU remains intractable.

#### Idea

• Encode a *fixed* non-deterministic Turing machine M, that solves an NP-complete problem in polynomial time, into an instance of  $\{a, c, e, f, o, p\}$ -DBU

### Proof - Sketch

### Idea: Adapted from Bolander et al. [2011]

- Encode a non-deterministic Turing machine into an instance of DBU
- Done by representing each reachable configuration of M by an epistemic model encoding the tape and state: an alternating chain of worlds labelled by state and tape symbols.



### **Proof** - Conclusion

- Introduce the event model  $\mathcal{E}_{step}$  that simulates one non-deterministic step of M
- Then  $\mathcal{M} \otimes (\mathcal{E}_{step})^n$  is the epistemic model that encodes all states of M reachable by applying n transitions (computation steps) to the state encoded in  $\mathcal{M}$

### Max runtime for M solving NP-complete problem

There exists a polynomial P such that there is a run where M accepts a positive instance  $\omega$  in time  $P(|\omega|)$ 

• M accepts  $\omega$  iff  $\mathcal{M}_0 \otimes (\mathcal{E}_{step})^{P(|\omega|)} \models \hat{\mathcal{K}}_g q_f$  (where  $q_f$  is the accepting state)

#### Conclusion

Fpt-reduction from an NP-complete prob. to  $\{a, c, e, f, o, p\}$ -DBU

# $\{c, f, o, p, u\}$ -DBU

#### Result

 $\{c, f, o, p, u\}$ -DBU is intractable, that is, if we bound the number of propositional variables (p), the size of preconditions of events and the number of event updates (c, u), and the size and model depth of the goal formula (f, o), then DBU remains intractable.

#### Reduction

Fpt-reduction from k-W2SAT, the problem of deciding whether a 2CNF propositional formula  $\psi$  can be satisfied with at most k variables set to true. Reduction inspired by van de Pol [2018].

#### Ideas

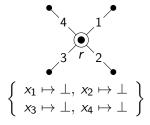
- Epistemic models encode a set of valuations via valuation gadgets
- ullet Event models multiply and modify these valuation gadgets  $_{20/26}$

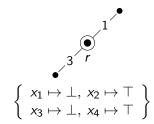
# $\{c, f, o, p, u\}$ -DBU - Sketch

### Valuation gadget

A valuation gadget  $\mathcal{M}_v$  encodes the valuation v into an epistemic (sub)model.  $\mathcal{M}_v$  has a root (designated world) marked by the propositional variable r, and an outgoing i-edge iff  $x_i$  if true v.

• 
$$P = \{x_1, \ldots, x_4\}$$

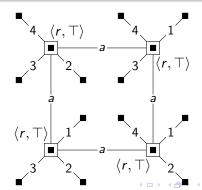




# $\{c, f, o, p, u\}$ -DBU - Sketch

#### Event model

Event model  $\mathcal{E}_{setOneTrue}$  constructs, for each submodel  $\mathcal{M}_{v}$ , m new gadgets  $\mathcal{M}_{v[x_1\mapsto \top]}$ , ...,  $\mathcal{M}_{v[x_m\mapsto \top]}$ , where m is the number of variables of  $\psi$ 



# $\{c, f, o, p, u\}$ -DBU - Sketch

### Checking model

- Our proof is inspired by the proof of intractability of  $\{c, o, p, u\}$ -DBU by van de Pol et al. [2018].
- Idea: Introduce an event model named  $\mathcal{E}_{check}$  that compresses the final epistemic model, so that our desired property can be checked with a fixed size goal formula.
- Initial epistemic model  $\mathcal{M}_{\perp}$  encodes valuation  $\{x_1 \mapsto \bot, \ldots, x_m \mapsto \bot\}$
- Goal formula:  $\varphi_{g}:=\hat{K}_{a}(r\wedge K_{b}\neg f)$
- $\psi$  is satisfiable with at most k variables set to true iff  $\mathcal{M}_{\perp} \otimes (\mathcal{E}_{setOneTrue})^{\mathsf{k}} \otimes \mathcal{E}_{check} \models \varphi_{\mathsf{g}}$

# $\{a, c, o, p, u\}$ -DBU

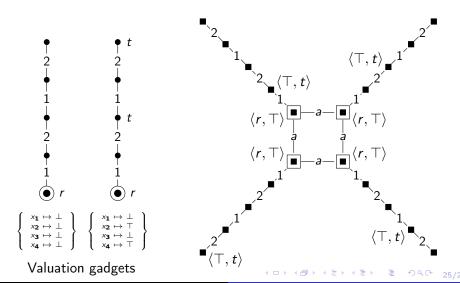
#### Result

 $\{a, c, o, p, u\}$ -DBU is intractable, that is, if we replace the previous bound on the size of the goal formula with a bound on the number of agents, then DBU remains intractable.

#### Idea

- Same overall idea as in previous proof
- Move the load from the number of agents to the size of the goal formula (parameter f replaced by a)
- Main difference to previous proof: change the valuation gadgets to exploit depth of models rather than number of agents

# $\{a,c,o,p,u\}$ -DBU - Sketch



### Conclusion

### Solved problems

- From 10 open problems to 2 computationally equivalent ones
- Solved  $\{a, c, p, e, f\}$ -DBU by encoding a NTM into a DBU-instance. Gives many existing results as corollaries
- Solved  $\{c, f, o, p, u\}$ -DBU and  $\{a, c, o, p, u\}$ -DBU by generalising ideas from van de Pol et al. [2018]

#### Future work

- Decide the complexity of  $\{a, c, f, o, p, u\}$ -DBU
- Consider additional relevant parameters
- Parameterized complexity of plan existence in epistemic planning